

APPLICATION FOR APPROVAL OF CORPORATE CHANGE

This form is to be used by a corporate licensee to apply for permission to make a corporate change involving (1) change of officers or directors, LLC Members, etc., or (2) where there are fewer than 10 stockholders, any change in stock-holdings, or (3) where there are 10 or more stockholders, any change involving 10% or more of the stock or any change in stock-holdings which would increase the holdings of any one stockholder to 10% or more of the stock.

*Such change cannot become effective under the Alcoholic Beverage Control Law until permission has been granted by the State Liquor Authority.
Therefore, it is recommended that any change be made conditional upon approval by the State Liquor Authority.*

Section A

Serial Number	County	Telephone #
Full Name of Licensee as listed on the License		
Trade Name (DBA) as listed on the License Certificate		
Complete Address of Licensed Premises including Zip		
Post Office/Mailing Address, if different than premises		
FEIN#	Business Website	
Business Email Address (required)		

Name of Contact	<input type="checkbox"/> Attorney	<input type="checkbox"/> Representative	<input type="checkbox"/> Contact
Office Address			
City	State	Zip Code	
Telephone Number of Office (Include Area Code)			
E-mail Address (if available)			

Submit a completed Notice of Appearance

Section B

Change Requested: Check all that apply

<input type="checkbox"/> New stockholding(s)/stockholder(s).	<input type="checkbox"/> The removal of officer(s)/director(s)/member(s).
<input type="checkbox"/> Appointment of new officer(s)/director(s)/member(s).	<input type="checkbox"/> Death of officer(s)/director(s)/member(s)/stockholder(s).

1. How was the interest acquired in the Corporation/LLC?

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2. Are there any other licenses held in New York under this corporation/LLC? If yes, list all serial numbers.

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